AFFIDAVIT OF DENZIL DIAS

STATE OF NEW YORK §
COUNTY OF NEW YORK §

BEFORE ME, the undersigned authority, on this day personally appeared Denzil Dias, who, upon his oath, deposed and stated the following:

- My name is Denzil Dias. I am over 21 years of age, have never been convicted of
 a felony or crime involving moral turpitude, and I am fully competent to make this
 Affidavit. The facts stated herein are based on my personal knowledge and are true
 and correct.
- 2. Before IHT Wealth Management, LLC ("IHT") acquired the assets of PRB Wealth Management, LLC ("PRB") in or about September of 2020, I served as the Senior Vice President and Chief Operating Officer of PRB. Since the acquisition of PRB's assets by IHT was completed in or about October of 2020, I have served as a Senior Vice President at IHT.
- 3. During my time at IHT, I only met Adam S. Kaplan and Daniel E. Kaplan (the "Kaplans") twice. Further, throughout the year of 2020, the Kaplans only came to the PRB Office located at 122 E. 42nd Street, Suite 1610, New York, New York 10168 (the "PRB Office") three (3) times: *first*, on January 23, 2020, the Kaplans came in for a brief introductory meeting and to examine the PRB Office; *second*, according to the PRB Office logs (the "logs"), the Kaplans entered the PRB Office on Saturday, October 3, 2020, but to my knowledge, the Kaplans did not bring any items or equipment with them, or move anything in on that date; *third*, the logs

reflect that the Kaplans entered the PRB Office on Thursday, November 19, 2020. See PRB Office Logs, attached hereto as **Exhibit A**.

- To my knowledge, the Kaplans did not bring any items or equipment into the PRB
 Office on any of the aforementioned dates in 2020.
- 5. In 2021, the Kaplans came to the PRB Office only once—on July 13, 2021—to pick up their new security identification cards. To my knowledge, however, the Kaplans did not bring any items or equipment with them to the PRB Office on this date.
- 6. Neither my staff nor I ever saw the Kaplans bring any of their alleged personal property into the PRB Office, including, but not limited to desktop and laptop computers, external hard drives, electronic devices, and/or paper files.
- 7. Accordingly, as of July 16, 2021, none of the Kaplans' alleged personal property, including, but not limited to desktop and laptop computers, external hard drives, electronic devices, and/or paper files ever existed at the PRB Office.

Further affiant sayeth not.

Denzil Dias

SUBSCRIBED AND SWORN TO BEFORE ME on the 25th th day of _____, 2023 to certify which witness my hand and official seal.

NOTARY PUBLIC IN AND FOR THE STATE OF NEW YORK

STATE OF NEW YORK

My commission expires: January 16, 2026

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JAMIE K LIU

Notary Public - State of New York

NO. 01Ll6369596

Qualified in Queens County

My Commission Expires Jan 16, 2026

UnitID	AlarmType TransactionType	AlarmSpecial	CardFirstName	CardLastName	CardBatch CardNum	ber DeviceName	Direction	AlarmDateTime
ACU1	2 Access Granted		Daniel	Kaplan	0 4	4540 Lobby In 2	In	10/3/2020 15:07
ACU1	2 Access Granted		Adam	Kaplan	0 4	4539 Lobby In 2	In	10/3/2020 15:07
ACI 11	2 Access Granted		Adam	Kanlan	0 4	4539 Lobby In 2	In	11/19/2020 15:28



09/07/2021 14:12:47 History Activity Page: 2

	Aperio Device offline:	YES	Aperio Device online:	YES
	Aperio DIU restarted:	YES	Aperio DIU Watchdog reset:	YES
	Aperio Handle position:	YES	Aperio Radio disturbance cleared:	YES
	Aperio Radio disturbance:	YES	Aperio Request to exit:	YES
	Aperio unknown event:	YES	• •	
Comms Rx Accounts:	(All)			
Floor:	(All)			
Dial-In Alarm Site:	(None)			
Maintenance Zone:	(None)			
System:	Scheduled Operations:	NO	Trigger Operations:	NO
	Card Commands:	NO	Valid Card Transaction:	NO
	User Comments:	NO	Uncategorized:	NO
	Card User PIN Changes:	NO	User Initiated Door Command:	NO
	Card Holder Deact-Secondary Expiry	NO	Device plugin load failed	NO
	Insufficient space	NO	Video purged early	NO
	NVR Status	NO	1 0 7	
Integrated Modules:	M4000:	YES		

Granted Access [5029] A&B Bank Reader 3 Turnstile 3

Where

Kaplan, Adam

Who

07/13/2021 02:45PM

When

5029

Card No.

Total Number of Records: 1

What

*** End of Report ***

Case 2:23-cr-00293-JMA-JMW	Document 98-1	Filed 08/27/24	Page 5 of 5 PageID #:
	610		

09/07/2021 14:13:04 History Activity Page: 2

Comms Rx Accounts: Floor: Dial-In Alarm Site:	Aperio Device offline: Aperio DIU restarted: Aperio Handle position: Aperio Radio disturbance: Aperio unknown event: (All) (All) (None)	YES YES YES YES YES	Aperio Device online: Aperio DIU Watchdog reset: Aperio Radio disturbance cleared: Aperio Request to exit:	YES YES YES YES
Maintenance Zone: System:	(None) Scheduled Operations: Card Commands: User Comments: Card User PIN Changes: Card Holder Deact—Secondary Expiry Insufficient space NVR Status	NO NO NO NO NO NO	Trigger Operations: Valid Card Transaction: Uncategorized: User Initiated Door Command: Device plugin load failed Video purged early	NO NO NO NO NO
Integrated Modules:	M4000:	YES		

Granted Access [5030] A&B Bank Reader 2 Turnstile 2

Where

Kaplan, Daniel

Who

07/13/2021 02:45PM

When

5030

Card No.

Total Number of Records: 1

What

*** End of Report ***